

J. NICHOLAS GROSS, ATTORNEY AT LAW

PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vingnia 22313-1450 www.usplu.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/820,963

SAN FRANCISCO, CA 94117

726 DUBOCE AVE.

23694

04/07/2004

Li

Epogy 2004-4

CONFIRMATION NO. 9556

FORMALITIES LETTER

OC000000013005294

Date Mailed: 06/21/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

\$65 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

08/30/2004 FFANAIA2 00000051 10820963

Commissioner for Patents

P.O. Box 1450

01 FC:1051

130.00 OP

Alexandria VA 22313-1450

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:)	
Shaolin Li) I hereby certify that this correspondence is being sent via) facsimile to the Commissioner for Patents (703)746.4000 on	
Application No.: 10/820,963	September 1, 2004 Date of Transmission	
Filed: April 7, 2004) Mary E. Warinner Name of Person Transmitting Correspondence	
For: MONITORING SYSTEM USING MULTI-ANTENNA TRANSCEIVERS	Mary & Jan 9.1.04 Signary Date	
Commissioner for Patents		
P.O. Box 1450		
Alexandria, VA 22313-1450		

TRANSMITTAL

Sir:

When responding to the Notice to File Missing Parts, Applicant inadvertently failed to notify the PTO of a change in entity status. Applicant previously claimed small entity status when the above-referenced patent application was filed. Applicant is no longer eligible for small entity status and hereby notifies the Commission for Patents that Applicant should be considered a large entity from May 18, 2004 forward. Applicant paid the large entity surcharge in response to the Notice to File Missing Parts and does not believe that any additional fees are required. If however, any additional fees are required, authorization to charge any additional fees or underpayments was granted in the attached Fee Transmittal, submitted August 23, 2004.

Respectfully submitted,

Berkeley Law and Technology Group, LLC

Dated: September 1, 2004

Michael J. Willardson Reg. No. 50,856

Reg. No. 50,85

5250 NE Elam Young Parkway, Suite 850 Hillsboro, OR 97124 (503)640.6475

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

2 7 2004 ork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)	130	.00
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Complete if Known		
Application Number	10/820,963	
Filing Date	April 7, 2004	
First Named Inventor	Shaolin Li	
Examiner Name	Not yet assigned	
Art Unit	Not yet assigned	
Attorney Docket No.	012.P3004	

Check Credit card Money Other None 3. ADDITIONAL FEES Large Entity , Small Entity	
Large Entity , Small Entity	
Deposit 50 0 4 0 0 Fee Fee Fee Fee Fee Fee Fee Fee Description	Fee Paid
L Approved UDII=33301	130.00
Deposit Account Berkeley Law & Technology 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet	
Name The Director is authorized to: (check all that apply) 1053 130 Non-English specification	
Charge fee(s) indicated below Credit any overpayments 1812 2,520 For filing a request for ex parte reexamination	
1804 920* 1804 920* Requesting publication of SIR prior to	- 1
Charge fee(s) indicated below, except for the filing fee 1805 1,840* Requesting publication of SIR after	
to the above-identified deposit account.	
FEE CALCULATION 1251 110 2251 55 Extension for reply within first month	Ì
1. BASIC FILING FEE 1252 420 2252 210 Extension for reply within second month	
Large Entity Small Entity 1253 950 2253 475 Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid 1254 1,480 2254 740 Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee 1255 2,010 2255 1,005 Extension for reply within fifth month	
1002 340 2002 170 Design filing fee 1401 330 2401 165 Notice of Appeal	
1003 530 2003 265 Plant filing fee 1402 330 2402 165 Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee 1403 290 2403 145 Request for oral hearing	
1005 160 2005 80 Provisional filing fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0 1452 110 2452 55 Petition to revive - unavoidable	
1453 1.330 2453 665 Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility issue fee (or reissue)	
Total Claims	
Independent 1503 640 2503 320 Plant issue fee	
Claims -3** - 4480 130 1460 130 Petitions to the Commissioner	
1807 50 1807 50 Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Description 1806 180 1808 180 Submission of Information Disclosure Stmt	
Code (\$) Code (\$) 8021 40 8021 40 Recording each patent assignment per	
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid 1810 770 2810 385 For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims examined (37 CFR 1.129(b))	
over original patent 1801 770 2801 385 Request for Continued Examination (RCE) 1205 18 2205 9 ** Reissue claims in excess of 20 1802 900 1802 900 Request for expedited examination	
1205 18 2205 9 ** Reissue claims in excess of 20 1802 900 Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 0 Other fee (specify)	
*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130.00	0

SUBMITTED BY (Complete (if applicable)) Registration No. Name (Print/Type) Howard A. Skaist 36.008 Telephone 503.640.6475 Attorney/Agent) Signature

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Attorney Docket No. 012.P3005

Date of Mailing: August 23, 2004

Reponse to Notice to File Missing Parts

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

August 23, 2004

Date of Transmission

Mary E. Warinner

Name of Person Transmitting Correspondence

ure

P3004

OIPE	Attorney Docket: 012
AUG 2 7 2004 IN THE UNITED STATES PATE	NT AND TRADEMARK OFFICE
In re Patent Application of:) \
Shaolin Li)) Examiner: not yet assigned
Application No.: 10/820,963) Art Unit: not yet assigned)
Filed: April 7, 2004	,))
For: MONITORING SYSTEM USING MULTI-ANTENNA TRANSCEIVERS))

RESPONSE TO NOTICE TO FILE MISSING PARTS - FILING DATE GRANTED

Box Missing Parts COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts, Filing Date Granted, mailed June 21, 2004, enclosed are the following:

- Copy of Notice to File Missing Parts Filing Date Granted; 1)
- Petition Showing Proprietary Interest Under 37 CFR 1.47; 2)
- Affidavit of Howard A. Skaist; 3)
- Power of Attorney and Correspondence Address Indication Form; 4)
- Fee Transmittal (in duplicate); 5)
- Credit Card Payment Form; and 6)
- Return Receipt Postcard.

Respectfully submitted,

Howard A. Skaist

Senior Intellectual Property Attorney

Reg. No. 36,008

Berkeley Law and Technology Group, LLC 5250 NE Elam Young Parkway, #850 Hillsboro, OR 97124 (503)640.6475